

Last time

Absolute values on  $K$ :  $|xy| = |x| \cdot |y|$ ,  $|x+y| \leq |x| + |y|$   
 $\leq \max(|x|, |y|)$   
 non-Archimedean  $\rightarrow$

Archimedean:  $K \hookrightarrow \mathbb{C}$

Non-Archimedean:  $|x| = \alpha^{v(x)}$

$v: K^\times \rightarrow \mathbb{R}$  valuation  
 $\rightarrow \mathbb{Z}$  normalised discrete valuation

$\mathcal{O} = \{x \mid v(x) \geq 0\}$  valuation ring

$\mathcal{O}^\times = \{x \mid v(x) = 0\}$  units

$\mathfrak{m} = \{x \mid v(x) > 0\}$  maximal ideal.

$$\underline{\text{Ex}} \quad v_a: \mathbb{C}(t) \longrightarrow \mathbb{Z}$$

$$(t-a)^n \frac{f(t)}{g(t)} \longmapsto n$$

order of vanishing at  $a \in \mathbb{C}$   
 ← "regular at  $a$ "

$$\mathcal{O} = \{ \text{fncs with no pole at } a \}$$

$$\mathcal{O}^\times = \{ \text{fncs with no zero or pole at } a \}$$

$$\mathfrak{m} = \{ \text{fncs } f \text{ s.t. } f(a) = 0 \}$$

$$\mathcal{O}/\mathfrak{m} \cong \mathbb{C}$$

$$f \longmapsto f(a)$$

Suppose  $v: K^\times \rightarrow \mathbb{Z}$  discrete normalised

Pick  $\pi \in K$  s.t.  $v(\pi) = 1$ , a uniformiser

↑  
 (  $p$  for  $v_p$  on  $\mathbb{Q}$   
 $t - a$  for  $v_a$  on  $\mathbb{C}(t)$   
 $\frac{1}{t}$  for  $v_\infty$  on  $\mathbb{C}(t)$ )

Then every  $a \in K^\times$  can be written uniquely as

$$a = u \cdot \pi^n \quad \begin{array}{l} n = v(a) \\ u \in \mathcal{O}^\times \text{ unit.} \end{array}$$

In particular,

$$1) \mathfrak{m} = \{x \in \mathcal{O} \mid v(x) \geq 1\} = (\pi) \text{ principal}$$

2) Every ideal  $I \subseteq \mathcal{O}$ ,  $I \neq \{0\}$  is  
 $(\pi^n)$  for some  $n \geq 0$  [ $\Rightarrow \mathcal{O}$  PID]  
[namely  $n = \min \{v(x) \mid x \in I\}$ ]

$$\text{So } \mathcal{O} \supseteq \underbrace{(\pi)}_{\mathfrak{m}} \supseteq \underbrace{(\pi^2)}_{\mathfrak{m}^2} \supseteq \underbrace{(\pi^3)}_{\mathfrak{m}^3} \supseteq \dots$$

are the non-zero ideals in  $\mathcal{O}$ .

## § Discrete valuation rings (DVRs)

Commutative algebra  $\Rightarrow$

field of fractions

Lemma  $R$  integral domain,  $K = \text{f.f.}(R)$ ,  $R \neq K$ .

The following conditions are equivalent

- 1)  $\exists$  valuation  $v: K^\times \rightarrow \mathbb{Z}$  with  $\mathcal{O}_v = R$
- 2)  $\mathcal{O}$  is a local PID.
- 3)  $\mathcal{O}$  is Noetherian, integrally closed and has a unique non-zero prime ideal.

Such rings are called discrete valuation rings (DVRs).

Ex The ring of formal power series in 1 variable.

$k$  field (of constants)

$$O = k[[T]] = \left\{ \sum_{n=0}^{\infty} c_n T^n \mid c_n \in k \right\}$$

$$K = k((T)) = \left\{ \sum_{n=n_0}^{\infty} c_n T^n \mid c_n \in k \right\}$$

formal power series.

$\downarrow$  possibly  $< 0$

formal  
Laurent series

$$v: K^\times \longrightarrow \mathbb{Z}$$

$$\sum_{n=n_0}^{\infty} c_n T^n \longmapsto n_0$$

$(c_{n_0} \neq 0)$

$$\left( \begin{array}{l} \text{e.g. } T+T^2 \xrightarrow{v} 1 \\ T^2 \rightarrow 2 \\ T^{-3}+T \rightarrow -3 \\ \dots \end{array} \right)$$

$\pi = T$  uniformiser

$m = (T)$  maximal ideal

This is a DVR.

Generally, elements of DVRs may be viewed as power series :

Lemma  $v: K^\times \rightarrow \mathbb{Z}$  valuation,  $\mathcal{O} = \mathcal{O}_v$ ,  $\pi$  uniformizer  
 $k$  residue field.

$A \subseteq \mathcal{O}$  any set of representatives of  $k$  (say with  $0 \in A$ )

$$\left[ \begin{array}{ccc} \mathcal{O}/\mathfrak{m} & \xrightarrow{\sim} & k \\ A & \xrightarrow{1:1} & k. \end{array} \right]$$

$\cong$



Then every  $x \in K^\times$  can be written uniquely as  
 a convergent series

$\left| \text{partial sums} - x \right| \rightarrow 0$  in  $|\cdot|$   
 corresponding to  $v$

$$x = \pi^{v(x)} \sum_{n=0}^{\infty} a_n \pi^n \quad \begin{array}{l} a_n \in A \\ a_0 \neq 0 \end{array}$$

Proof  $x \in K^\times \Rightarrow x = \pi^{v(x)} \cdot u$   $u \in \mathcal{O}^\times$   
unit.

Reduce mod  $\mathfrak{m}$ :

$$\begin{aligned} \mathcal{O}/\mathfrak{m} &\xrightarrow{\sim} k \\ u &\longmapsto \bar{u} \neq 0 \end{aligned}$$

Choose (unique)  $a_0 \in A$  s.t.  $\bar{a}_0 = \bar{u}$ . Then

$$a_0 - u \in \mathfrak{m} \Rightarrow$$

$$u = a_0 + \pi \cdot u_1$$

Reduce  $u_1$  mod  $\pi$ , lift to  $q_1 \in A \Rightarrow$

$$u = a_0 + \pi a_1 + \pi^2 u_2 \quad \text{some } u_2 \in \mathcal{O}$$

and proceed.

$$\text{Then } v\left(u - \sum_{i=0}^N a_i \pi^i\right) \geq N+1 \rightarrow \infty \quad \text{as } N \rightarrow \infty.$$

Uniqueness is clear by construction. i.e.  $\| \cdot \| \rightarrow 0$ .



Ex  $K = \mathbb{Q}$

$V = V_2$  2-adic valuation on  $\mathbb{Q}$ ,  $\pi = 2$ ,

$A = \{0, 1\}$

or  $6, 10, -\frac{2}{3}$

↓

Every element in  $\mathbb{Q}^\times$  is a convergent power series

series  $\sum_{i=v_2(x)}^{\infty} a_i 2^i$ ,  $a_i \in \{0, 1\}$ .

↑

$3, \frac{5}{7}$

For instance,

$$x = -\frac{1}{3} \quad \text{valuation } 0$$

$$\hookrightarrow 1 \pmod{2}$$

$$= 1 + 2 \cdot \left(-\frac{2}{3}\right)$$

$$\hookrightarrow 0 \pmod{2}$$

$$= 1 + 2^2 \left(-\frac{1}{3}\right)$$

$$\hookrightarrow 1 \pmod{2}$$

$$= 1 + 2^2 + 2^4 + 2^6 + 2^8 + \dots$$

geometric series for

$$\frac{1}{1-4}$$

$$\underline{\text{Ex r}} \quad \sum_{n=n_0}^{\infty} a_n 2^n, \quad a_n \in \{0, 1\}$$

(converges to a number in  $\mathbb{Q}$  (w.r. to  $| \cdot |_2$ ))

$\Leftrightarrow (a_n)$  is (eventually) periodic.

[like for decimal expansions!]

Ex  $K = k(t)$ ,  $v = v_0$  (order of vanishing at 0),  
 $\pi = t$ ,  $A = k$  ← in this case  $\mathcal{O}_v$  is a  $k$ -algebra, as opposed to the previous example.

$$x = \frac{1+t}{1-t} = 1 + 2t + 2t^2 + 2t^3 + \dots$$

### § Complete fields

$K, |\cdot|$  abs. value  $\Rightarrow K$  metric space,  $+, -, \times, \frac{1}{x}$   
 $d(x, y) = |x - y|$  continuous.

Def  $x_n \rightarrow x$  if  $|x_n - x| \rightarrow 0$  as  $n \rightarrow \infty$ .

$(x_n)$  is Cauchy if  $|x_n - x_m| \rightarrow 0$  as  $n, m \rightarrow \infty$

Def  $K$  is complete if every Cauchy sequence converges.

( $\leftarrow$  so  $\{ \text{convergent seqs} \} = \{ \text{Cauchy seqs} \}$ ).

Def  $K, |\cdot|$ . The topological completion of  $K$  w.r. to  $|\cdot|$  is a field  $\widehat{K}$ , called the completion of  $K$  (w.r. to  $|\cdot|$ ).



Construction as follows:

$$\mathcal{C} = \{ \text{Cauchy sequences in } K \} \quad \text{ring.}$$

$$\mathcal{I} = \{ \text{sequences } a_n \rightarrow 0 \} \quad \text{ideal}$$

$$\hat{K} := \mathcal{C} / \mathcal{I} \quad \text{field (check)}$$

$$K \hookrightarrow \hat{K}$$

$$a \mapsto (a, a, a, \dots)$$

Cauchy sequence in  $\mathbb{R}$   
 $\Rightarrow$  converges.



$$|\cdot| \text{ extends to } \hat{K} \text{ by } |(x_n)| := \lim_{n \rightarrow \infty} |x_n|$$

Easy to check:

- $K$  is dense in  $\widehat{K}$ .
- $K = \widehat{K} \iff K$  complete
- $K$  Archimedean  $\iff \widehat{K}$  Archimedean.
- $|\cdot|_1 \sim |\cdot|_2$  on  $K \implies \widehat{K}^{(1)} \cong \widehat{K}^{(2)}$   
as a topological field.
- $L \hookrightarrow K$  hom. of fields  $\implies$  extends uniquely to  $\widehat{L} \hookrightarrow \widehat{K}$ .

$$\underline{\text{Ex}} \quad K = \mathbb{Q}, |\cdot|_{\infty} \implies \hat{K} = \mathbb{R}$$

$$K = \mathbb{Q}(i), |\cdot|_{\infty} \implies \hat{K} = \mathbb{C}$$

$$\underline{\text{Ex}} \quad K, |\cdot| \text{ Archimedean} \xrightarrow{\text{Ostrowski II}} \mathbb{Q}$$

$$\mathbb{Q} \hookrightarrow K \hookrightarrow \mathbb{C}$$

$|\cdot|_{\infty} \qquad \qquad \qquad |\cdot|_{\infty}$

$$\text{Take completions} \implies \mathbb{R} \hookrightarrow \hat{K} \hookrightarrow \mathbb{C}$$

So the only complete Archimedean fields are  $\mathbb{R}$  and  $\mathbb{C}$ .

$$\underline{\text{Ex}} \quad K = k(t)$$

$$v = v_0 \iff | \cdot |$$

$$\hookrightarrow v_0\left(t^n \frac{f(t)}{g(t)}\right) = n$$

$$\text{Here } \hat{K} = k((t)).$$

Generally,  $C/k$  algebraic curve,  $P \in C(k)$  non-singular  
 $\Rightarrow$  completion of  $k(C)$  w.r.t. to  $|\cdot|_P$  is  $\cong k((t))$ .

For discrete valuations

$$v: K^\times \longrightarrow \mathbb{Z}$$

recall that

$$K \hookrightarrow \left\{ \text{series } \sum_{n=n_0}^{\infty} a_n \pi^n \mid a_n \in A \right\} \cup \{0\}$$

$\uparrow$   
 set of reps  
 of  $k$  in  $\mathcal{O}$

sequence of such series is Cauchy  
 $\Leftrightarrow$  they agree to higher and higher  
 $\#$  of terms.

$$\Rightarrow \text{RHS} = \widehat{K} \quad !$$

That is,

Thm  $v: K^* \rightarrow \mathbb{Z}, \pi, A$  as above. Then

$$\hat{K} = \left\{ x = \sum_{n=n_0}^{\infty} a_n \pi^n \mid a_n \in A, (a_{n_0} \neq 0) \right\} \cup \{0\}$$

complete field

$$\hat{\mathcal{O}} = \bigcup \left\{ x = \sum_{n=0}^{\infty} a_n \pi^n \mid a_n \in A \right\} \quad \text{DVR.}$$

## § p-adic numbers

Def  $\mathbb{Q}_p$  = completion of  $\mathbb{Q}$  w.r. to  $|\cdot|_p$ ,  
the field of p-adic numbers.

$\mathbb{Z}_p$  = closure of  $\mathbb{Z}$  in  $\mathbb{Q}_p$   
= valuation ring of  $(\mathbb{Q}_p, v_p)$ ,  
the ring of p-adic numbers.

Explicitly,

$$\mathbb{Q}_p = \left\{ \sum_{n=n_0}^{\infty} a_n p^n \mid a_n \in \{0, \dots, p-1\}, a_{n_0} \neq 0 \right\}$$

p-adic digits  
 $\downarrow$

$$\mathbb{Z}_p = \left\{ \sum_{n=0}^{\infty} a_n p^n \mid a_n \in \{0, \dots, p-1\} \right\} \cup \{0\}.$$

elt. of valuation  $n_0$   
(abs. value  $p^{-n_0}$ )  
 $\uparrow$

Rmk  $\mathbb{Q}_p$  Uncountable field,  $\mathbb{Q}_p \cong \mathbb{Q}$  ( $\Rightarrow$  characteristic 0).



Ex  $\mathbb{Z}_2 \subset \mathbb{Q}_2$

$$2 = 2$$

$$5 = 1 + 2^2$$

$$-1 = 1 + 2 + 2^2 + 2^3 + 2^4 + \dots$$

$$-1/3 = 1 + 2^2 + 2^4 + 2^6 + \dots$$

$$-2/3 = 2 + 2^3 + 2^5 + 2^7 + \dots$$

$$-1/12 = 2^{-2} + 1 + 2^2 + 2^4 + \dots$$

Ex  $x \in \mathbb{N}$   
 $\Leftrightarrow$  has finite  
 p-adic expansion

$\leftarrow$  geom. series  
 for  $\frac{1}{1-2} = \sum 2^n$   
 recall: eventually periodic  
 $\Leftrightarrow x \in \mathbb{Q}$ .

$\leftarrow \notin \mathbb{Z}_2$ .

Added & multiplied like power series (with carry)

$$\begin{array}{r}
 S = 1 + 2^2 \\
 + \quad -\frac{1}{3} = 1 + 2^2 + 2^4 + 2^6 + \dots \\
 \hline
 4\frac{2}{3} = 2 + 2 \cdot 2^2 + 2^4 + 2^6 + 2^8 + \dots \\
 \quad = 2 + 2^3 + 2^4 + 2^6 + 2^8 + \dots
 \end{array}$$

(for division, use geometric series).

## Metric & topology on $\mathbb{Q}_p$

- open balls

$$\begin{aligned}
 & \left\{ x \in \mathbb{Q}_p \mid |x-a|_p < r \right\} = \left\{ x \in \mathbb{Q}_p \mid |x-a|_p < p^{-n} \right\} \\
 & \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\
 & \quad \quad \quad \frac{1}{p} \quad \quad \quad v_p(x-a) \\
 & = \left\{ x \in \mathbb{Q}_p \mid v_p(x-a) \geq n \right\} \\
 & = \left\{ x \in \mathbb{Q}_p \mid p\text{-adic digits of } x \text{ and } a \right. \\
 & \quad \left. \text{agree up to the } n^{\text{th}} \text{ one} \right\}.
 \end{aligned}$$

$$\underline{\text{Ex}} \quad \mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid v(x) \geq 0\}$$

↳ centre  $a=0$ , radius 1

$$p^n \mathbb{Z}_p, \quad 7 + p^n \mathbb{Z}_p$$

↳ fund. system of nbd's of  $0$  ... of  $7$

- closed balls = open balls.
- $\mathbb{Z}$  is dense in  $\mathbb{Z}_p$ ,  $\mathbb{Q}$  dense in  $\mathbb{Q}_p$  (Exc.)
- $\mathbb{Z}_p$  is compact totally disconnected.
- Triv:  $\mathbb{Z}_2$  is homeomorphic to the Cantor set.

